## CSci 8980

# Basics of Machine Learning and Deep Learning (Part I)

### Machine Learning

• Tom Mitchell:

- An algorithm that is able to learn from data

- Learning?
  - A computer program is said to learn from experience E with respects to some class of tasks T and performance measure P, if its performance at tasks T, as measured by P, improves with experience E.

### Machine Learning

- Task types
  - Classification: k categories
  - Regression: predict a value
  - Structured outputs: decompose/annotate output
  - Anomaly detection
- Experience E; samples x
  - Supervised: labelled outputs => p (y|x)
  - Unsupervised: non-labelled outputs => p(x)
  - Reinformement learning: seq. experience  $x_1 x_2 \dots$

### Machine Learning

- Input is represented by features
  - image: pixels, color, ...
  - game: move right
- Extract features from inputs to solve a task
  - Classic ML: human provides features
  - DL: system learns representation (i.e. features)
    - From simpler to complex (layers of simpler)

## DL vs. ML

- Learning representations and patterns of data
- Generalization (failure of classic AI/ML)
- Learn (multiple levels of) representation by using a hierarchy of multiple layers



# Why is DL useful?

- Manual features
  - over-specified, incomplete and take a long time to design and validate
- Learned Features are easy to adapt, fast to learn
- Deep learning provides a universal, learnable framework for representing world information

In ~2010 DL started outperforming other ML techniques: e.g. speech, NLP, ...

## **Big Win in Vision**



ImageNet: The "computer vision World Cup"

## **Machine Learning Basics**

Machine learning is a field of computer science that gives computers the ability to learn without being explicitly programmed



Methods that can learn from and make predictions on data

## ML in a Nutshell

- Every machine learning algorithm has three components:
  - Representation
  - Evaluation
  - Optimization

## (Model) Representation

- Decision trees
- Sets of rules / Logic programs
- Instances
- Graphical models (Bayes/Markov nets)
- Neural networks
- Support vector machines
- Model ensembles
- Logistic regression
- Randomized Forests
- Boosted Decision Trees
- K-nearest neighbor
- Etc.

## Evaluation

- Differ between supervised and unsupervised learning
  - Accuracy
  - Precision and recall
  - Mean squared error
  - Max Likelihood
  - Posterior probability
  - Cost / Utility
  - Entropy
  - Etc.

## Optimization

- Combinatorial optimization
  - E.g.: Greedy search
- Convex optimization
  - E.g.: Gradient descent
- Constrained optimization
  - E.g.: Linear programming

## Types of Learning

- Supervised learning
  - Training data includes desired outputs
  - Prediction, Classification, Regression
- Unsupervised learning
  - Training data does not include desired outputs
  - Clustering, Probability distribution estimation
  - Finding association (in features), Dimension reduction
  - Best representation of data
- Reinforcement learning
  - Rewards from sequence of actions
  - Seq. decision making (robot, chess, games)

## Types of Learning: examples

**Supervised**: Learning with a **labeled training** set Example: email *classification* with already labeled emails

**Unsupervised**: Discover **patterns** in **unlabeled** data Example: *cluster* similar documents based on text

**Reinforcement learning**: learn to **act** based on **feedback/reward** Example: learn to play Go, reward: *win or lose* 



### Comparison





### Learning techniques

- Supervised learning categories and techniques
  - Linear classifier (numerical functions)
    - Works well: output depends on many features
  - **Parametric** (probabilistic functions)
    - Work wells: limited data, but with assumptions about function
    - Naïve Bayes, Gaussian discriminant analysis (GDA), Hidden Markov models (HMM), ...
  - Non-parametric (Instance-based functions)
    - Works well: Lot of data, no prior knowledge
    - *K*-nearest neighbors, Kernel regression, Kernel density estimation, ...

## Learning techniques

- Unsupervised learning categories and techniques
  - Clustering
    - K-means clustering
    - Spectral clustering
  - Density Estimation
    - Gaussian mixture model (GMM)
    - Graphical models
  - Dimensionality reduction
    - Principal component analysis (PCA)
    - Factor analysis

### Classification

- Assign input vector to one of two or more classes
- Any decision rule divides input space into decision regions separated by decision boundaries





• Find a *linear function* to separate the classes:

 $f(\mathbf{x}) = sgn(\mathbf{w} \cdot \mathbf{x} + b)$ 

### **Classifiers: Nearest neighbor**



#### $f(\mathbf{x}) =$ label of the example nearest to $\mathbf{x}$

- All we need is a distance function for our inputs
- No training required!

## K-nearest neighbor

Assign label of nearest training data point to each test data point



### 1-nearest neighbor



### 3-nearest neighbor



## 5-nearest neighbor

- Cannot discriminate between features
  - Poor generalization if small"training set"





- Training: given a *training set* of labeled examples {(x<sub>1</sub>,y<sub>1</sub>), ..., (x<sub>N</sub>,y<sub>N</sub>)}, estimate f by minimizing the prediction error on the training set
- Testing: apply f to a never before seen test example x and output the predicted value y = f(x)

### Example

• Apply a prediction function to a feature representation of the image to get the desired output:



## Generalization



Training set (labels known)



Test set (labels unknown)

• How well does a learned model generalize from the data it was trained on to a new test set?

### Steps



## Training and testing

- Training is the process of making the system able to learn/generalize
- No free lunch rule:
  - Training set and testing set come from the same distribution
  - No universal ML algorithm!
  - Need to make some assumptions

## Under{Over} fitting

- ML algorithm must perform well on unseen inputs "generalization"
  - Training error run training data back on model
  - Testing error error on new data
- Underfit
  - High training error
- Overfit

- Gap between training and testing error too large



## Generalization

- Components of generalization error
  - Bias: how much the average model over all training sets differ from the true model?
    - Error due to simplifications made by the model
  - Variance: how much models estimated from different training sets differ from each other
- **Underfitting:** model is too "simple" to represent all the relevant class characteristics
  - High bias and low variance
  - High training error and high test error
- **Overfitting:** model is too "complex" and fits irrelevant characteristics (noise) in the data
  - Low bias and high variance
  - Low training error and high test error

### Bias-Variance Trade-off





- Models with too few parameters are inaccurate because of a large bias (not enough flexibility)
- Models with too many parameters are inaccurate because of a large variance (too much sensitivity to the sample)

### Regularization



## Effect of Training Size

Fixed prediction model



Error

Number of Training Examples

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### **Comparison of errors**

#### Using logistic regression





Training Error rate: 0.11

Error rate: 0.145

### Next Week

- More on deep learning
- Start research papers on Thursday