# Resolution in FO logic (Ch. 9)

CHOCOLATE COMES FROM COCOA WHICH IS A TREE
THAT MAKES IT A PLANT.
CHOCOLATE IS SALAD.

### Review: CNF form

Conjunctive normal form is a number of clauses stuck together with ANDs

Each clause can only contain ORs, and logical negation must appears right next to literals

For example: CNF with 3 clauses  $(A) \land (A \lor \neg B) \land (\neg A \lor \neg B \lor C \lor D)$ 



## First-order logic resolution

To do first-order logic resolution we again need to get all the sentences to CNF

This requires a few more steps for FOL (red):

- 1. Use logical equivalence to remove implies
- 2. Move logical negation next to relations
- 3. Standardize variables
- 4. Generalize existential quantifiers
- 5. Drop universal quantifiers
- 6. Distribute ORs over ANDs

# First-order logic resolution

"All dogs that are able to make everyone laugh are owned by someone"

 $\forall x \ [Dog(x) \land \forall y \ (Person(y) \Rightarrow Laugh(y, x))] \\ \Rightarrow [\exists y \ Person(y) \land Owns(y, x)]$ 



# First-order logic resolution

 $\forall x \ [Dog(x) \land \forall y \ (Person(y) \Rightarrow Laugh(y, x))]$  $\Rightarrow [\exists y \ Person(y) \land Owns(y, x)]$ 

I have normally put quantifiers on the left for simplicity (as you will see)

There is always a equivalent form with all quantifiers to the left of the main sentence

But the above sentence is logically valid

## 1. convert implies

As CNF only has ORs and ANDs, we use this:

$$A \Rightarrow B \equiv \neg A \lor B$$

If there is a  $\iff$ , we use the following first:

$$A \iff B \equiv A \Rightarrow B \land B \Rightarrow A$$

First-order logic only allows these logical ops:  $\neg, \lor, \land, \Rightarrow, \iff$ 

So we will have reduced everything to just negation, ANDs and ORs

### 1. convert implies

$$\forall x \ [Dog(x) \land \forall y \ (Person(y) \Rightarrow Laugh(y, x))] \\ \Rightarrow [\exists y \ Person(y) \land Owns(y, x)] \\ \dots \text{ converts to} \dots$$

$$\forall x \ \neg [Dog(x) \land \forall y \ (\neg Person(y) \lor Laugh(y, x))] \\ \lor [\exists y \ Person(y) \land Owns(y, x)]$$

This is now the statement:

"Dogs are either not thought as funny by everyone or owned by someone"

# 2. move negation to right

Putting negation next to relationships requires two things:

### 1. De Morgan's laws:

$$\neg (A \lor B) \equiv (\neg A \land \neg B)$$

$$\neg (A \land B) \equiv (\neg A \lor \neg B)$$

### 2. Quantifier negation:

$$\neg(\forall x \ A(x)) \equiv (\exists x \ \neg A(x))$$

$$\neg(\exists x \ A(x)) \equiv (\forall x \ \neg A(x))$$

## 2. move negation to right

$$\forall x \ \neg [Dog(x) \land \forall y \ (\neg Person(y) \lor Laugh(y, x))] \\ \lor [\exists y \ Person(y) \land Owns(y, x)]$$

... converts to...

$$\forall x \ [\neg Dog(x) \lor \exists y \ (Person(y) \land \neg Laugh(y, x))] \\ \lor [\exists y \ Person(y) \land Owns(y, x)]$$

This is now the statement:

"All things are either (not a dog or not funny to a human) or owned by someone"



### 3. standardize variables

It is possible to reuse the same variable in multiple parts of a sentence, such as y in:

$$\forall x \ (\exists y \ A(x,y)) \Rightarrow (\exists y \ B(x,y))$$

You can just rename a variable to make it clear that there is no conflict (having quantifiers on the left ensures there is no confusion)

$$\forall x \ (\exists y \ A(x,y)) \Rightarrow (\exists y \ B(x,y))$$
 $\equiv \forall x \exists y, z \ [A(x,y) \Rightarrow B(x,z)]$ 

### 3. standardize variables

 $\forall x \ [\neg Dog(x) \lor \exists y \ (Person(y) \land \neg Laugh(y, x))] \\ \lor [\exists y \ Person(y) \land Owns(y, x)]$ 

... converts to...

 $\forall x, \exists y, z \ [\neg Dog(x) \lor (Person(y) \land \neg Laugh(y, x))] \\ \lor [Person(z) \land Owns(z, x)]$ 

The meaning is still the same as last time, but might be easier to understand in half-English: "Every x is either (not a dog, not funny to y or y is not a person) or (person z owns x)"

We have talked before about how to make a new object for an existential quantifier:

Objects = 
$$\{a, b, c\}$$
 Objects =  $\{a, b, c, A1\}$ 

$$\exists x \ A(x)$$

However, the situation is more difficult for existential inside universal quantifier:

Objects = 
$$\{a, b, c\}$$
 Objects =  $\{a, b, c, A1\}$   $\forall x \exists y \ A(x, y)$ 

Does this work?

This does not work...

Objects = {a, b, c, A1} Objects = {a, b, c} 
$$\exists y \forall x \ A(x, A1)$$

This is saying there is a single object (A1), which is true for all x

To properly represent existential on the inside, we need to use a function of x to represent y:

Objects = {a, b, c} 
$$\forall x \exists y \ A(x,y)$$
 Objects = {a, b, c}  $\forall x \exists y \ A(x,F(x))$ 

Function review:

Unary relations = Person(x) (is a relation)

Function = child(x) (is an object)

(functions can also have more than one input)

Objects = {a, b, c} 
$$\forall x \exists y \ A(x,y)$$
 Objects = {a, b, c}  $\forall x \ A(x,F(x))$ 

Here the function F(x) is the y for which A(x,y) is true for any given x (this is called Skolemization)

$$\forall x, \exists y, z \ [\neg Dog(x) \lor (Person(y) \land \neg Laugh(y, x))] \\ \lor [Person(z) \land Owns(z, x)]$$

... converts to...

$$\forall x \ [\neg Dog(x) \lor (Person(Y(x)) \land \neg Laugh(Y(x), x))]$$

 $\vee [Person(Z(x)) \wedge Owns(Z(x), x)]$ 

... I give up translating

If there were multiple universal quantifiers, all the variables would be in the function:

 $\forall x, y \exists a \forall z \ A(x, y, z, a) \equiv \forall x, y, z \ A(x, y, z, F(x, y))$ 

# 5. drop universal quantifiers

As we got rid of existential, there is no confusion about the quantifiers...

So we just simply drop the "for all"s:

$$\forall x \ [\neg Dog(x) \lor (Person(Y(x)) \land \neg Laugh(Y(x), x))] \\ \lor [Person(Z(x)) \land Owns(Z(x), x)]$$

... converts to...

$$[\neg Dog(x) \lor (Person(Y(x)) \land \neg Laugh(Y(x), x))] \\ \lor [Person(Z(x)) \land Owns(Z(x), x)]$$

### 6. distribute AND/OR

To get in CNF form, we need all clauses to only contain ORs, and be separated by ANDs:  $A \lor (B \land C) \equiv (A \lor B) \land (A \lor C)$  (basic logic rules of equivalence)

A	В	С	B^C	AV(B^C)	AVB	AVC	(AVB)^(AVC)
Т	Т	Т	Т	Т	Т	Т	Т
Т	Т	F	F	Т	Т	Т	T
Т	F	Т	F	Т	Т	Т	Т
Т	F	F	F	Т	Т	Т	Т
F	Т	Т	Т	Т	Т	Т	Т
F	Т	F	F	F	Т	F	F
F	F	Т	F	F	F	Т	F
F	F	F	F	F	F	F	F

### 6. distribute AND/OR

$$\neg Dog(x) \lor (Person(Y(x)) \land \neg Laugh(Y(x), x))]$$
 
$$\lor Person(Z(x)) \land Owns(Z(x), x)]$$

Substitute into:

$$A \lor (B \land C) \equiv (A \lor B) \land (A \lor C)$$

... yields...

$$\neg Dog(x) \lor (Person(Y(x)) \land \neg Laugh(Y(x), x)) \lor Person(Z(x))] \\ \land [\neg Dog(x) \lor (Person(Y(x)) \land \neg Laugh(Y(x), x)) \lor Owns(Z(x), x)]$$

### 6. distribute AND/OR

... Then do it again... twice (with feeling!)

$$\neg Dog(x) \lor Person(Z(x)) \lor (Person(Y(x)) \land \neg Laugh(Y(x), x))$$

$$/ \left[\neg Dog(x) \lor Owns(Z(x),x) \lor \left(Person(Y(x)) \land \neg Laugh(Y(x),x)\right) \right]$$

$$A \lor (B \land C) \equiv (A \lor B) \land (A \lor C)$$

### This gives:

$$\neg Dog(x) \lor Person(Z(x)) \lor Person(Y(x))]$$

$$\land [\neg Dog(x) \lor Person(Z(x)) \lor \neg Laugh(Y(x), x)]$$

$$\land [\neg Dog(x) \lor Owns(Z(x), x) \lor Person(Y(x))]$$

$$\wedge [\neg Dog(x) \vee Owns(Z(x), x) \vee \neg Laugh(Y(x), x))]$$

Once you have the first-order logic in CNF-ish form, resolution is almost the same

The only difference is that you must unify/ substitute any variables that you merge

### For example:

$$(A(x,y,Z(x)) \lor \neg B(y)) \land (C(x) \lor B(Y(x)))$$
  
... unify/substitute {y/Y(x)}  
 $(A(x,Y(x),Z(x)) \lor C(x))$ 

### You try it!

- 1. Use logical equivalence to remove implies
- 2. Move logical negation next to relations
- 3. Standardize variables
- 4. Generalize existential quantifiers
- 5. Drop universal quantifiers
- 6. Distribute ORs over ANDs

### Convert this to CNF:

$$\forall x \ [A(x) \iff \forall y \ B(x,y)]$$

$$\forall x \ [A(x) \iff \forall y \ B(x,y)]$$
1. 
$$\forall x \ [(A(x) \Rightarrow \forall y \ B(x,y)) \land (\forall y \ B(x,y) \Rightarrow A(x))]$$
1. 
$$\forall x \ [(\neg A(x) \lor \forall y \ B(x,y)) \land (\neg \forall y \ B(x,y) \lor A(x))]$$
2. 
$$\forall x \ [(\neg A(x) \lor \forall y \ B(x,y)) \land (\exists y \ \neg B(x,y) \lor A(x))]$$
3. 
$$\forall x \ [(\neg A(x) \lor \forall y \ B(x,y)) \land (\exists z \ \neg B(x,z) \lor A(x))]$$
4. 
$$\forall x \ [(\neg A(x) \lor \forall y \ B(x,y)) \land (\neg B(x,Z(x)) \lor A(x))]$$
5. 
$$(\neg A(x) \lor B(x,y)) \land (\neg B(x,Z(x)) \lor A(x))$$
6. (nothing to do)

The negation goes where show in the blue box, because y is localized to one side, while not x

Resolution is <u>refutation-complete</u> in first-order logic (due to it being semi-decidable)

So using resolution we can tell if: "a entails b"

But we cannot tell if: "a does not entail b"

Resolution recap:

PL: complete, can do "entails" and "not entail"

FOL: refutation-complete, only does "entails"

Consider this KB:  $A(Dog) \lor A(Cat)$   $\neg A(Dog)$  $\forall x \ A(x) \Rightarrow B(x)$ 

If we ask: B(Cat)? 
$$A(Dog) \lor A(Cat)$$
  $\neg A(Dog)$   $A(Dog)$   $A(Dog)$   $A(Dog)$   $A(Dog)$   $A(Dog)$   $A(Cat)$   $A(Cat)$ 

The last example worked correctly as it identified entailment

However, it has trouble giving us answers to an existential: Ask "exists x, A(x)"?  $A(Dog) \lor A(Cat)$  unify {x/Cat}  $\neg A(Dog)$  $\forall x \ \neg A(x) \lor B(x)$  $\forall x \neg A(x)$  unify  $\{x/Dog\}$ This only tells us (2 unify): A(Cat) OR A(Dog)

Thus, resolution in first-order logic will always tell you if a sentence is entailed

However, it might not be able to tell you for what values it is satisfiable

Similar to the semi-decidable nature of FO logic, resolution is complete if entailment can be found in a finite number of inferences (or "resolves")

Once again, I have avoided equality as it is not much fun to deal with

Two ways to deal with this are:

- 1. Add rules of equality to KB
- 2. De/Para-modulation (i.e. more substituting)

Both can increase the complexity of the KB or inference by a large amount, so it is better to just avoid equality if possible

There are three basic rules of equality:

- 1. reflexive:  $\forall x \ x = x$
- 2. symmetric:  $\forall x, y \ x = y \Rightarrow y = x$
- 3. transitive:  $\forall x, y, z \ x = y \land y = z \Rightarrow x = z$

Then **for each relation/function** we have to add an explicit statement:

Relations (1 var):  $\forall x, y \ x = y \Rightarrow A(x) \iff A(y)$ 

Functions (2 vars): (= instead of iff)

 $\forall a, b, x, y \ a = x \land b = y \Rightarrow F(a, b) = F(x, y)$ 

Consider this KB:  $A(x) \lor B(x, F(x))$  $\forall x, y \ x = y \Rightarrow B(x, y)$ 

Would need to be converted into:

$$\forall x \ x = x$$

$$\forall x, y \ x = y \Rightarrow y = x$$

$$\forall x, y, z \ x = y \land y = z \Rightarrow x = z$$

$$\forall a, x \ a = x \Rightarrow [A(a) \iff A(x)]$$

$$\forall a, b, x, y \ a = x \land b = y \Rightarrow [B(a, b) \iff B(x, y)]$$

$$\forall a, x \ a = x \Rightarrow F(a) = F(x)$$

$$A(x) \lor B(x, F(x))$$

$$\forall x, y \ x = y \Rightarrow B(x, y)$$

Consider this KB:  $A(x) \lor B(x, F(x))$  $\forall x, y \ x = y \Rightarrow B(x, y)$ 

Basically, you convert = into a relationship

$$\forall x \ Eq(x,x)$$

$$\forall x, y \ Eq(x,y) \Rightarrow Eq(y,x)$$

$$\forall x, y, z \ Eq(x,y) \land Eq(y,z) \Rightarrow Eq(x,z)$$

$$\forall a, x \ Eq(a,x) \Rightarrow [A(a) \iff A(x)]$$

$$\forall a, b, x, y \ Eq(a,x) \land Eq(b,y) \Rightarrow [B(a,b) \iff B(x,y)]$$

$$\forall a, x \ Eq(a,x) \Rightarrow Eq(F(a),F(x))$$

$$A(x) \lor B(x,F(x))$$

$$\forall x, y \ Eq(x,y) \Rightarrow B(x,y)$$

The second option doubles the available inferences instead of doubling the KB

We allow <u>paramodulation</u>, in addition to the normal resolution rule

Paramodulation is essentially substituting with a sentence that contains an equals, while also applying resolution to combine (and ensures there is no conflict in the KB)

#### Consider this KB:

$$A(x) \lor B(F(x, Cat)) \lor C(x, Cat)$$
$$[F(Dog, y) = G(y)] \lor D(y)$$

We can then unify {x/Dog, y/Cat} and get:

$$A(Dog) \lor B(F(Dog,Cat)) \lor C(Dog,Cat)$$

$$[F(Dog, Cat) = G(Cat)] \lor D(Cat)$$

Which we can infer:

$$A(Dog) \lor B(G(Cat)) \lor C(Dog, Cat) \lor D(Cat)$$

- 1. Like resolution you combine sentences
- 2. Valid substitutions if necessary

### Consider this KB:

$$A(x) \lor B(F(x,Cat)) \lor C(x,Cat)$$
$$[F(Dog,y) = G(y)] \lor D(y)$$

We can then unify {x/Dog, y/Cat} and get:

$$A(Dog) \lor B(F(Dog,Cat)) \lor C(Dog,Cat)$$

$$[F(Dog, Cat)] = G(Cat) \lor D(Cat)$$

Which we can infer:

$$A(Dog) \lor B(G(Cat)) \lor C(Dog, Cat) \lor D(Cat)$$

- 1. Like resolution you combine sentences
- 2. Valid substitutions if necessary

Four (brief) ways to speed up resolution:

- 1. Subsumption
- 2. Unit preference
- 3. Support set
- 4. Input resolution
- 1. and 2. are general and do not effect the completeness of resolution
- 3. and 4. can limit resolvability

<u>Subsumption</u> is to remove any sentences that are fully expressed by another sentence

Consider this KB:  $\begin{subarray}{l} \forall x \ A(x) \\ A(Cat) \end{subarray}$ 

The first sentence is more general and the second is not adding anything

We could simply reduce the KB to:  $\forall x \ A(x)$  (and keep th same meaning)

<u>Unit preference</u> is to always apply a clause containing one literal before any others

Since we want to end up with an empty clause for a contradiction, this will shrink the size of the original clause

For example:  $(A(x) \lor B(x) \lor C(x)) \land (\neg A(x))$  ... will resolve to:  $(B(x) \lor C(x))$ 

A <u>Support set</u> is artificially restricting the KB and removing (what you think are) irrelevant clauses

The set of clauses you use can be based on the query, so if we have this KB:  $A(x) \Rightarrow B(x)$ 

 $B(x) \Rightarrow C(x)$ 

Then we ask:  $\exists x \ B(x)$ ?  $\exists x \ A(x)$ 

We can see the middle sentence is worthless, so we can solve it just with the first and third

If the support set contains no equalities, there will be a large efficiency increase

**However**, if the support set does not contain an important sentence you can reach an incorrect conclusion (about entailment)

Even without equality, eliminating a portion of the KB can give large speed ups (as inference is NP-hard, i.e. exponential)

Input resolution starts with a single sentence, and only tries to apply resolution to that sentence (and the resulting sentences)

The resolution of this earlier example is one:

$$A(Dog) \lor A(Cat)$$
 $\neg A(Dog)$ 
 $\forall x \ \neg A(x) \lor B(x)$ 
 $\neg B(Cat)$ 
Contradiction

The blue line is involved in all resolutions